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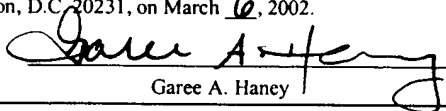


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PATENT
Docket No. 246152014600

CERTIFICATE OF MAILING BY "FIRST CLASS MAIL"

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Assistant Commissioner for Patents, Washington, D.C. 20231, on March 16, 2002.


Garee A. Hancy

IN THE UNITED STATES PATENT AND TRADEMARK OFFICE

In the application of:

Peter Jan Leonard Mario QUAEDFLIEG
et al.

Serial No.: 09/869,067

Filing Date: June 19, 2001

For: PROCESS FOR THE PREPARATION
OF α -AMINONITRILES WITH
ENHANCED OPTICAL PURITY

Examiner: To Be Assigned

Group Art Unit: 1653

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INFORMATION DISCLOSURE
STATEMENT UNDER 37 C.F.R. § 1.97

Assistant Commissioner for Patents
Washington, D.C. 20231

Dear Sir:

Pursuant to 37 C.F.R. § 1.97 and § 1.98, Applicants submit for consideration in the above-identified application the documents listed on the attached Form PTO-1449. Copies of the documents are also submitted herewith. The Examiner is requested to make these documents of record.

This Information Disclosure Statement is submitted:

- ☐ With the application; accordingly, no fee or separate requirements are required.
- ☒ Within three months of the application filing date or before mailing of a first Office Action on the merits; accordingly, no fee or separate requirements are required.
- ☐ After receipt of a first Office Action on the merits but before mailing of a final Office Action or Notice of Allowance.
 - ☐ A fee is required. A check in the amount of * is enclosed.
 - ☐ A fee is required. Accordingly, a Fee Transmittal form (PTO/SB/17) is attached to this submission in duplicate.
 - ☐ A Certification under 37 C.F.R. § 1.97(e) is provided below; accordingly, no fee is believed to be due.
- ☐ After mailing of a final Office Action or Notice of Allowance, but before payment of the issue fee.
 - ☐ A Certification under 37 C.F.R. § 1.97(e) is provided below and a check in the amount of * is enclosed.
 - ☐ A Certification under 37 C.F.R. § 1.97(e) is provided below and a Fee Transmittal form (PTO/SB/17) is attached to this submission in duplicate.

Applicants would appreciate the Examiner initialing and returning the Form PTO-1449, indicating that the information has been considered and made of record herein.

The information contained in this Information Disclosure Statement under 37 C.F.R. § 1.97 is not to be construed as a representation that: (i) a complete search has been made; (ii) additional information material to the examination of this application does not exist; (iii) the information, protocols, results and the like reported by third parties are accurate or enabling; or (iv) the above information constitutes prior art to the subject invention.

In the unlikely event that the transmittal letter is separated from this document and the Patent Office determines that an extension and/or other relief is required, applicant petitions for any required relief including extensions of time and authorizes the Assistant Commissioner to

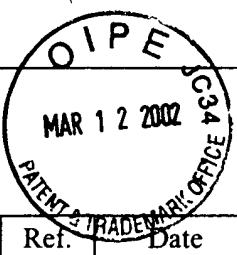
charge the cost of such petitions and/or other fees due in connection with the filing of this document to **Deposit Account No. 03-1952** referencing 246152014600. However, the Assistant Commissioner is not authorized to charge the cost of the issue fee to the Deposit Account.

Dated: March 6, 2002

Respectfully submitted,

By: Kate H. Murashige
Kate H. Murashige
Registration No. 29,959

Morrison & Foerster LLP
3811 Valley Centre Drive
Suite 500
San Diego, California 92130-2332
Telephone: (858) 720-5112
Facsimile: (858) 720-5125

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|---|-------------|--|--------------|--|-------|-------------------------------|-------------------------------|
| Form PTO-1449 INFORMATION DISCLOSURE CITATION IN AN APPLICATION <i>(Use several sheets if necessary)</i> | | | | Docket Number 246152014600 | | Application Number 09/869,067 | |
| | | | | Applicant Peter Jan Leonard Mario QUAEDFLIEG et al. | | | |
| | | | | Filing Date June 19, 2001 | | Group Art Unit 1653 | |
| | | | | Mailing Date March <u>12</u> , 2002 | | | |
| <div style="display: flex; justify-content: space-between; align-items: center;"><div style="text-align: center;"></div><div style="text-align: right;">COPY OF PAPERS ORIGINALLY FILED</div></div> U.S. PATENT DOCUMENTS | | | | | | | |
| Examiner Initials | Ref. No. | Date | Document No. | Name | Class | Subclass | Filing Date If Appropriate |
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| FOREIGN PATENT DOCUMENTS | | | | | | | |
| Examiner Initials | Ref. No. | Date | Document No. | Country | Class | Subclass | Translation YES NO |
| | | | | | | | |
| OTHER DOCUMENTS <i>(including author, title, Date, Pertinent Pages, Etc.)</i> | | | | | | | |
| Examiner Initials | Ref. No. | Title | | | | | |
| | 1. | Groche et al., Biochem. Biophys. Res. Comm. (1998) 246:342-346 | | | | | |
| | 2. | Wagner et al., J. Biol. Chem. (1998) 273:11413-11416 | | | | | |
| RECEIVED MAR 15 2002 TECH CENTER 1600 | | | | | | | |
| EXAMINER: | | | | DATE CONSIDERED: | | | |
| EXAMINER: Initial if citation considered, whether or not the citation conforms with MPEP 609. Draw a line through the citation if not in conformance and not considered. Include a copy of this form with next communication to applicant. | | | | | | | |